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B A C H E L O R A R B E I T

# $C(X)$ as dual space of a Banach space

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# Introduction

The famous *Riesz-Markov representation* theorem gives us a special characterization of the dual space of  $C_0(X)$ .

**Definition 0.0.1.** Let  $X$  be a non-empty locally compact Hausdorff space.  $C_0(X)$  denotes the subset of all functions  $f \in C(X)$ , for which the set  $\{x \in X \mid |f(x)| \geq \epsilon\}$  is compact for all  $\epsilon > 0$ . If we endow this space with the supremum norm

$$\|f\|_X = \sup_{x \in X} |f(x)|,$$

it is a Banach space.

**Definition 0.0.2.** Let  $X$  be a non-empty, locally compact space. Then we denote by  $M(X)$  the space of complex-valued, regular Borel measures on  $X$  and we set

$$\|\mu\| = |\mu|(X).$$

With respect to this norm, called the *total variational norm*, it is a Banach space.

**Theorem 0.0.3** (Riesz-Markov). *Let  $X$  be a locally compact Hausdorff space. Then every bounded linear functional  $\Phi$  on  $C_0(X)$  is represented by a unique regular complex Borel measure  $\mu$ , as*

$$\Phi(\mu)f = \int_X f \, d\mu,$$

for every  $f \in C_0(X)$ . More precisely,  $\Phi$  is an isometric isomorphism from  $C_0(X)'$  to  $M(X)$ .

A proof of this theorem can be found in, e.g., [5, Theorem 6.19, p.130].

In this bachelor thesis we deal with the following question:

When is  $C_0(X)$  (isometrically) isomorphic to a dual space and if a predual exists, how does it look like?

The thesis is mainly based on [2].

Existence of a predual of a Banach space is not always guaranteed.

*Example 0.0.4.* Let  $Z$  be a Banach space with  $Ext(B_1^Z(0)) = \emptyset$ , where  $Ext(B_1^Z(0))$  denotes the set of extreme points in  $B_1^Z(0) = \{z \in Z \mid \|z\| \leq 1\}$ , then there is no Banach space  $Y$  with  $Y' \cong Z$ .

To show this, assume that  $Z$  is isometrical isomorphic to the dual of a space  $Y$ . If we endow  $Z$  with the weak\*-topology  $(Z, \sigma(Z, Y))$ , then, by the *Banach-Alaoglu* theorem the unit ball is weak\*-compact. So  $B_1^Z(0)$  is a non-empty, compact and convex subset of a locally convex space. By *Krein-Milman*,  $Ext(B_1^Z(0)) \neq \emptyset$ , a contradiction. //

**Proposition 0.0.5.** *For a non-empty, locally compact space  $X$ ,  $f \in Ext(B_1^{C_0(X)}(0))$  if and only if  $|f(x)| = 1$  for  $x \in X$ .*

*Proof.* Take  $f \in B_1^{C_0(X)}(0)$  and suppose that there exists  $x_0 \in X$  such that  $|f(x_0)| < 1$ . Set  $\epsilon = \frac{1-|f(x_0)|}{2}$ . Then there exists a neighbourhood  $U$  of  $x_0$  with  $|f(x_0)| < 1 - \epsilon$ , for  $x \in U$ . Take  $g \in C_{\mathbb{R}}(X)$  such that  $0 \leq g \leq \mathbb{1}_U$  and  $g(x_0) = 1$ . Then  $f \pm \epsilon g \in B_1^{C_0(X)}(0)$  and

$$f = \frac{1}{2}(f + \epsilon g) + \frac{1}{2}(f - \epsilon g),$$

and so  $f \notin \text{Ext}(B_1^{C_0(X)}(0))$ . On the other hand, if we have  $|f(x)| = 1$  for all  $x \in X$  and  $1 \neq |g|, |h|$  with  $g, h \in B_1^{C_0(X)}(0)$ , then there is  $x_0$  with  $|h(x_0)| < 1$ . We get

$$1 = |f(x_0)| = |(1-t)g(x_0) + th(x_0)| \leq (1-t)|g(x_0)| + t|h(x_0)| < 1 - t + t = 1,$$

a contradiction. ■

**Corollary 0.0.6.** *Let  $X$  be a non-empty, locally compact space, that is not compact. Then  $\text{Ext}(B_1^{C_0(X)}(0)) = \emptyset$ . Hence,  $C_0(X)$  is not isometrically isomorphic to a Banach space.*

*Proof.* By Proposition 0.0.5, it is  $|f(x)| = 1$  for all  $x \in X$ , for  $f \in \text{Ext}(B_1^{C_0(X)}(0))$ . Since  $X$  is not compact,  $f \notin C_0(X)$  and with Example 0.0.4,  $C_0(X)$  cannot be isometrically isomorphic to a dual space. ■

In view of Corollary 0.0.6 we may restrict our attention to compact spaces  $X$ . Moreover, we will always assume  $X$  to be Hausdorff.

Let us note that any predual of a space  $C(X)$  is isometrically isomorphic to a closed subspace of  $M(X)$ . This is the consequence of the following theorems that are part of almost every basic functional analysis course. These proofs can be found in [7, Lemma 5.5.2, p.86; Theorem 5.3.3, p.79].

**Theorem 0.0.7.** *Let  $Z$  be a vector space and let  $Y$  be a separating linear subspace of the algebraic dual  $Z^*$ . Then  $(Z, \sigma(Z, Y))' = Y$ .*

**Theorem 0.0.8.** *Let  $(X, \|\cdot\|)$  be a normed space and let  $\iota$  be the map*

$$\iota : \begin{cases} X \rightarrow (X')^* \\ x \mapsto (f \mapsto f(x)). \end{cases}$$

*Then  $\iota$  maps into the topological bidual space  $(X', \|\cdot\|_{X'})'$ , is linear, and is isometric if we endow  $X''$  with the operator norm  $\|\cdot\|_{X''}$ .*

By means of Theorem 0.0.7 and Theorem 0.0.8, we can indeed identify a predual  $Y$  of  $C(X)$  with a subspace of  $M(X)$ :

$$Y \cong \iota(Y) \subseteq Y'' \cong C(X)' \cong M(X) \tag{0.1}$$

$$(C(X), \sigma(C(X), \iota(Y)))' = \iota(Y) \subseteq M(X). \tag{0.2}$$

In the end we will even get some sort of uniqueness of this predual space. We have to distinguish between types of preduals.

**Definition 0.0.9.** Let  $Z$  be a Banach space.  $Y$  is an *isomorphic predual* of  $Z$  if  $Z$  is isomorphic to  $Y'$  (linear homeomorphic) and a Banach space  $Y$  is an *isometric predual* of  $Z$  if  $Z$  is isometrically isomorphic to  $Y'$ , we will write  $Y' \cong Z$ .

There are examples of spaces with isomorphic dual spaces, that are not isometrically isomorphic. We will need the following proposition.

**Proposition 0.0.10.** *Let  $Z$  and  $E$  be Banach spaces and let  $T$  be an isometric isomorphism. Then  $T(\text{Ext}(B_1^Z(0))) = \text{Ext}(B_1^E(0))$ .*

*Proof.*  $T$  is a bijective linear map. Now for  $z \in \text{Ext}(B_1^Z(0))$  the following holds:

$$T(z) = tT(a) + (1-t)T(b) = T(ta + (1-t)b) \Rightarrow z = ta + (1-t)b \Rightarrow z = a = b.$$

Hence  $z$  is an extreme point whenever  $T(z)$  is and vice versa. ■

*Example 0.0.11.* Let  $c$  be the set of convergent sequences in  $\mathbb{R}$  and  $c_0$  the subspace consisting of the sequences with limit 0. We know that  $c'_0 \cong \ell^1 \cong c'$ . It is easy to see that  $B_1^c(0)$  has extreme points (e.g. the sequence  $(1, 1, 1, \dots)$ ), but the unit ball of  $B_1^{c_0}(0)$  has no extreme points. Let  $x = (x_n)_{n \in \mathbb{N}} \in B_1^{c_0}(0)$ . Since  $x$  converges to 0 there is an index  $N > 0$  for which  $|x_N| < \frac{1}{2}$ . Now define  $y_{\pm} \in B_1^{c_0}(0)$  as

$$y_{n\pm} = \begin{cases} x_n & n \neq N \\ x_N \pm \frac{1}{4} & n = N. \end{cases}$$

So we can write  $x = \frac{1}{2}(y_+ + y_-)$ . So by *Proposition 0.0.10* there can't be an isometric isomorphism between  $c_0$  and  $c$ .

To see that these spaces are isomorphic, set

$$T(x) = (2x_\infty, x_1 - x_\infty, x_2 - x_\infty, \dots)$$

for  $x = (x_n)_{n \in \mathbb{N}} \in c$  with  $\lim_{n \rightarrow \infty} x_n = x_\infty$ . Then  $T : c \rightarrow c_0$  is a linear map. Further, we know that

$$T(x) = (0, 0, 0, \dots) \Rightarrow x = 0$$

since  $\lim_{n \rightarrow \infty} x_n = 0$  and for every sequence  $y \in c_0$  we take

$$x = \left( y_2 + \frac{y_1}{2}, y_3 + \frac{y_1}{2}, \dots \right) \rightarrow \frac{y_1}{2} \quad \text{and} \quad T(x) = y.$$

Obviously,  $\|T\| = 2$ . And as one can see

$$\frac{2}{3} \|x\| \leq \|T(x)\|.$$

It follows that  $\|T^{-1}\| \leq \frac{3}{2}$ , and so  $c$  is isomorphic to  $c_0$ . //

# 1 Stonean spaces and normal measures

## 1.1 Normal measures

As we have to deal with a subspace of  $M(X)$ , we should take a closer look at it.

**Definition 1.1.1.** Let  $(X, \mathcal{T})$  be a topological space. Then the *Borel sets* in  $X$  are the members of the  $\sigma$ -algebra  $\sigma(\mathcal{T})$  generated by the family  $\mathcal{T}$  of open subsets of  $X$ ; we set  $\mathfrak{B}_X = \sigma(\mathcal{T})$ .

Identifying  $M(X)$  as the dual space of  $C(X)$ , we define

$$\langle f, \mu \rangle = \int_X f d\mu \quad f \in C(X), \mu \in M(X).$$

For real-valued measures  $\mu, \nu \in M_{\mathbb{R}}(X)$ , we define

$$\begin{aligned} (\mu \vee \nu)(B) &= \sup_{\substack{A \in \mathfrak{B}_X \\ A \subseteq B}} \mu(A) + \nu(B \setminus A) \\ (\mu \wedge \nu)(B) &= \inf_{\substack{A \in \mathfrak{B}_X \\ A \subseteq B}} \mu(A) + \nu(B \setminus A). \end{aligned}$$

and further  $\mu^+ = \mu \vee 0$  and  $\mu^- = \mu \wedge 0$ . It is obvious that  $|\mu| = \mu^+ + \mu^-$ . The set of positive measures in  $M(X)$  is denoted by  $M(X)^+$ .

In the following  $C(X)^+ \subseteq C(X)$  denotes the space of real-valued, continuous and positive functions with pointwise order. Since the norm on  $C(X)$  is compatible with the lattice structure, the following definition is appropriate.

**Definition 1.1.2.** Let  $(Z, \|\cdot\|)$  be a Banach space and  $(Z, \leq)$  an ordered linear space. The norm is a *lattice norm* if  $\|y\| \leq \|z\|$  whenever  $|y| \leq |z|$ , with  $|z| = \sup\{z, -z\}$  in the lattice. The space  $Z$  is then called a *Banach lattice*.

To find a more concrete characterization of the space  $\iota(Y)$  in Equation (0.1), we define the space of normal measures:

**Definition 1.1.3.** Let  $X$  be a non-empty, compact space, and let  $\mu \in M(X)$ . Then  $\mu$  is *normal* if  $\langle f_i, \mu \rangle \rightarrow 0$  for each net  $(f_i)_{i \in I}$  in  $C(X)^+$  with  $f_i \searrow 0$ . We write  $f_i \searrow 0$  if  $(f_i)_{i \in I}$  is decreasing and  $\inf_{i \in I} f_i = 0$  in the lattice. We denote the subspace of normal measures in  $M(X)$  by  $N(X)$ .

*Remark 1.1.4.* We want  $N(X)$  to be a Banach space, so we have to check if it is a closed linear space with respect to the total variation norm. It is obviously a linear space as we have

$$\langle f_i, \mu + \nu \rangle = \int_X f_i d(\mu + \nu) = \int_X f_i d\mu + \int_X f_i d\nu = \langle f_i, \mu \rangle + \langle f_i, \nu \rangle \rightarrow 0$$

and similar with scalar multiplication. To see that  $N(X)$  is closed, we again take a net  $(f_i)_{i \in I}$  with  $f_i \searrow 0$ ,  $\epsilon > 0$  and a sequence  $(\mu_n)_{n \in \mathbb{N}}$  with  $\mu_n \rightarrow \mu$ . Then

$$|\langle f_i, \mu \rangle| = |\langle f_i, \mu - \mu_n \rangle + \langle f_i, \mu_n \rangle| \leq |\langle f_i, \mu - \mu_n \rangle| + |\langle f_i, \mu_n \rangle|.$$

Choose  $i_1$  and take  $n_0$  with  $\|\mu - \mu_{n_0}\| \leq \frac{\epsilon}{2\|f_{i_1}\|_X}$ . For this  $n_0$  we get  $i_0$  with  $|\langle f_i, \mu_{n_0} \rangle| \leq \frac{\epsilon}{2}$  for  $i \geq i_0$  and because  $f_i$  is decreasing,  $\|f_i\|_X \leq \|f_j\|_X$  for  $j \leq i$ . This leads to

$$|\langle f_i, \mu - \mu_{n_0} \rangle| \leq \|f_i\|_X \|\mu - \mu_{n_0}\| \leq \frac{\epsilon}{2}, \quad i \geq i_1$$

and to sum up  $|\langle f_i, \mu \rangle| \leq \epsilon$ , for  $i \geq i_1, i_0$ . Since  $\epsilon$  was arbitrary, we get  $\langle f_i, \mu \rangle \rightarrow 0$ . //

Subsequently we will need some basic properties of normal measures:

**Theorem 1.1.5.** *Let  $X$  be a non-empty, compact space. Then:*

- (i)  $\mu \in M(X)$  is normal if and only if  $\Re(\mu)$  and  $\Im(\mu)$  are normal;
- (ii)  $\mu \in M_{\mathbb{R}}(X)$  is normal if and only if  $|\mu|$  is normal if and only if  $\mu^+$  and  $\mu^-$  are normal;
- (iii)  $\mu \in M(X)$  is normal if and only if  $|\mu|$  is normal

To prove this theorem we need a corollary of *Urysohn's lemma* [5, Theorem 2.12, p.39]:

**Corollary 1.1.6.** *Let  $X$  be a non-empty, compact space. Suppose that  $C$  is compact and  $U$  is open in  $X$  such that  $C \subseteq U$ . Then there exists  $f \in C(X)^+$  with  $\mathbf{1}_C \leq f \leq \mathbf{1}_U$ .*

*Proof.* Since  $X$  is compact and Hausdorff,  $X$  is a normal space and hence, we can apply Urysohn's lemma to the closed subsets  $C$  and  $U^c$ . It gives us a function

$$f : X \rightarrow [0, 1] \text{ with } f(C) \subseteq \{1\} \text{ and } f(U^c) \subseteq \{0\}. \quad (1.1)$$

■

*Proof of Theorem 1.1.5.*

(i) This is trivial.

(ii) Suppose that  $\mu^+, \mu^- \in N(X)$ . Then certainly  $\mu, |\mu| \in N(X)$ . Suppose that  $|\mu| \in N(X)$  and that  $\nu \in N(X)$  with  $|\nu| \leq |\mu|$ . Then

$$0 \leq \left| \int_X f_i d\nu \right| \leq \int_X f_i d|\mu| \rightarrow 0$$

when  $f_i \searrow 0 \in C(X)^+$ , and so  $\nu \in N(X)$ . In particular,  $\mu, \mu^+$  and  $\mu^-$  are normal whenever  $|\mu|$  is normal.

Suppose that  $\mu \in M_{\mathbb{R}}(X)$  is normal and that  $f_i \searrow 0$  in  $B_1^{C(X)^+}(0)$ . Let  $\{P, N\}$  be a *Hahn decomposition* of  $X$  with respect to  $\mu$ , and take  $\epsilon > 0$ . Since  $\mu$  is regular, there exist a compact set  $C$  and an open set  $U$  in  $X$  with  $C \subseteq P \subseteq U$  and  $|\mu|(U \setminus C) < \epsilon$ . Now there exists  $g \in C(X)^+$  with  $\mathbf{1}_C \leq g \leq \mathbf{1}_U$ . Then

$$\int_X f_i d\mu^+ = \int_P f_i d\mu \leq \int_C g f_i d\mu + \int_{U \setminus C} g f_i d\mu + 2\epsilon = \int_X g f_i d\mu + 2\epsilon.$$

Since  $g f_i \searrow 0$  and  $\mu$  is normal,  $\lim_{i \in I} \int_X g f_i d\mu = 0$ , and so

$$\limsup_{i \in I} \int_X f_i d\mu^+ \leq 2\epsilon.$$

This holds true for each  $\epsilon > 0$ , and so

$$\lim_{i \in I} \int_X f_i d\mu^+ = 0.$$

Thus,  $\mu^+$  is normal.

(iii) Suppose that  $\mu \in N(X)$ . Then  $|\Re(\mu)| + |\Im(\mu)| \in N(X)$  from (i) and (ii). However,  $|\mu| \leq |\Re(\mu)| + |\Im(\mu)|$ , and so  $|\mu| \in N(X)$ . ■

For another characterization of normal measures we will need the following theorem of Dini.

**Theorem 1.1.7** (Dini's theorem). *Let  $X$  be a non-empty, compact space, and suppose that  $(f_i)_{i \in I}$  is a net in  $C_{\mathbb{R}}(X)$  such that  $f_i(x) \searrow g(x)$ , for each  $x \in X$ , where  $g \in C_{\mathbb{R}}(X)$ . Then, for each  $\epsilon > 0$ , there exists  $i_0 \in I$  such that  $\|f_i - g\|_X < \epsilon$ ,  $i \geq i_0$ .*

*Proof.* Fix  $\epsilon > 0$  and  $i_1 \in I$ , and then take the compact subset  $C$  of  $X$  such that  $f_{i_1}(x) < \epsilon$  for  $x \in X \setminus C$ . Set

$$X_i = \{x \in X \mid |f_i(x) - g(x)| \geq \epsilon\}$$

and  $C_i = X_i \cap C$  for  $i \in I$ , so that each  $C_i$  is a compact subset of  $C$ . Assume towards a contradiction that each set  $C_i$  is non-empty. The family  $(C_i)_{i \in I}$  has the finite intersection property: for  $n \in \mathbb{N}$  there is an index  $j$  with  $i_1, \dots, i_n \leq j$ . Since the net is decreasing, we have  $f_{i_1}, \dots, f_{i_n} \geq f_j$  and this leads to

$$\epsilon \leq f_j(x) - g(x) \leq f_{i_k}(x) - g(x), \quad k \in \{1, \dots, n\} \Rightarrow x \in \bigcap_{k=1}^n C_{i_k}.$$

It follows that  $\bigcap_{i \in I} C_i \neq \emptyset$ , a contradiction of the fact that  $f_i(x) \searrow g(x)$ . We get  $X_i = \emptyset$ , for  $i \geq i_0$ . ■

The following is a well-known theorem in measure theory. A proof can be found, e.g., in [5, Theorem 2.24, p. 55]. We will need it to prove the next important characterization.

**Theorem 1.1.8** (Lusin's theorem). *Let  $X$  be a non-empty, compact space, and take  $\mu \in M_{\mathbb{R}}(X)$ . For each Borel function  $f$  on  $X$  and each  $\epsilon > 0$ , there is a compact subset  $C$  of  $X$  such that  $|\mu|(X \setminus C) < \epsilon$  and  $f|_C$  is continuous.*

**Theorem 1.1.9.** *Let  $X$  be a non-empty, compact space. Then a measure  $\mu \in M(X)$  is normal if and only if  $\mu(C) = 0$  for  $C \in \mathcal{K}_X$ , where  $\mathcal{K}_X$  denotes the family of compact subsets  $C$  of  $X$  such that  $C^\circ = \emptyset$ .*

*Proof.*

" $\Rightarrow$ " Suppose that  $\mu \in N(X)$ . We may suppose that  $\mu \in N(X)^+$ . Now take  $C \in \mathcal{K}_X$ , and consider the non-empty set

$$\mathcal{F} = \{f \in C_{\mathbb{R}}(X) \mid f \geq \mathbf{1}_C\}.$$

Suppose that  $g = \inf \mathcal{F}$  in  $C_{\mathbb{R}}(X)$ . Then  $g(x) = 0$  for  $x \in X \setminus C$ . If there was  $x_0 \in X \setminus C$  with  $g(x_0) > 0$ , we can apply Urysohn's lemma to the closed sets  $C$  and  $x_0$ . So we get a function  $f \in \mathcal{F}$ , with  $f(x_0) \leq g(x_0)$ , so  $g(x_0) = 0$  and since

$$\overline{X \setminus C} = X \setminus C^\circ = X,$$

$g(x) = 0$  for a dense subset. It follows  $\inf \mathcal{F} = 0$ . Now  $(\mathcal{F}, \leq)$  is a directed set and the net  $(f)_{f \in \mathcal{F}}$  is decreasing. Since

$$\mu(C) = \int_X \mathbf{1}_C d\mu = \lim_{f \in \mathcal{F}} \int_X f d\mu = \inf_{f \in \mathcal{F}} \int_X f d\mu,$$

we have  $\mu(C) = 0$ .

" $\Leftarrow$ " Conversely, suppose that  $\mu \in M(X)$  and  $\mu(C) = 0$  for  $C \in \mathcal{K}_X$ . It suffices to suppose that  $\mu \in M(X)^+$ . Take  $(f_i)_{i \in I}$  in  $C(X)^+$  with  $f_i \searrow 0$ . We may suppose that  $f_i \leq 1$  for each  $i$ . Set

$$g(x) = \inf_{i \in I} f_i(x) \quad x \in X.$$



Then  $g$  is a Borel function, since

$$g(x) < c \Leftrightarrow \exists i_0 : f_{i_0} < c \Rightarrow g^{-1}(-\infty, c) = \bigcup_{i \in I} f_i^{-1}(-\infty, c) \quad (1.2)$$

the right hand side of *Equation (1.2)* is open as a union of open sets and so it is a Borel set. For  $n \in \mathbb{N}$ , set  $B_n = \{x \in X \mid g(x) > \frac{1}{n}\}$ , so that  $B_n \in \mathfrak{B}_X$ . For each compact subset  $C$  of  $B_n$ , we have  $C^\circ = \emptyset$ . To see this observe that  $C^c \supseteq B_n^c$ . If we can show that  $B_n^c$  is dense the claim follows. Since  $B_n^c = \{x \in X \mid g(x) \leq \frac{1}{n}\}$ , we have to show that for every open set  $U \subseteq X$  there is  $x_0 \in U$  with  $g(x_0) \leq \frac{1}{n}$ . If there was no such  $x_0$ , then for all  $i \in I$ ,  $f_i(x) > \frac{1}{n}$  for all  $x \in U$ . Now Urysohn's lemma applies to show that there is a continuous function  $f_U$  with  $f_U(x) \leq \frac{1}{n}$  for  $x \in U$  and  $f_U(U^c) = 0$ . Now we have

$$f_U \leq f_i, \quad \forall i \in I,$$

a contradiction to  $f_i \searrow 0$ . So  $C^c$  is dense and  $C^\circ = \emptyset$ . According to our condition  $\mu(C) = 0$ . Thus, since  $\mu$  is regular,  $\mu(B_n) = 0$ , and so

$$\mu(\{x \in X \mid g(x) > 0\}) = \mu\left(\bigcup_{n \in \mathbb{N}} B_n\right) = 0,$$

whence  $\int_X g \, d\mu = 0$ . Hence, it suffices to show that

$$\lim_{i \in I} \int_X f_i \, d\mu = \int_X g \, d\mu. \quad (1.3)$$

Take  $\epsilon > 0$ . By Lusin's theorem, *Theorem 1.1.8*, there is a compact subset  $K$  of  $X$  with  $\mu(X \setminus K) < \epsilon$  and such that  $g|_K \in C(K)$ . By Dini's theorem, *Theorem 1.1.7*, we know that  $\lim_{i \in I} \|f_i|_K - g|_K\|_K = 0$ , and so there exists  $i_0$  with  $\|f_i|_K - g|_K\|_K < \epsilon$  for  $i \geq i_0$ . It follows that

$$\left| \int_X f_i - g \, d\mu \right| \leq \int_K |f_i - g| \, d\mu + 2\epsilon < (\|\mu\| + 2)\epsilon, \quad i \geq i_0$$

giving *Equation (1.3)*. ■

**Corollary 1.1.10.** *Let  $X$  be a non-empty compact space, and suppose that  $\mu \in M(X)$ . Then the following are equivalent:*

- (i)  $\mu \in N(X)$ .
- (ii)  $|\mu|(\overline{B} \setminus B^\circ) = 0$  for each  $B \in \mathfrak{B}_X$ .
- (iii)  $\mu(B_1) = \mu(B_2)$  for each  $B_1, B_2 \in \mathfrak{B}_X$  with  $B_1 \Delta B_2$  meagre.

*Proof.* We may suppose that  $\mu \in M(X)^+$ .

“(i)  $\Rightarrow$  (ii)” Take  $B \in \mathfrak{B}_X$ . For each  $\epsilon > 0$ , there exists an open set  $U$  in  $X$  with  $B \subseteq U$  and  $\mu(U \setminus B) < \epsilon$ . Since  $\overline{U} \setminus U \in \mathcal{K}_X$ , we have  $\mu(\overline{U} \setminus U) = 0$ . Thus

$$\mu(B) \leq \mu(\overline{B}) \leq \mu(\overline{U}) = \mu(U) \leq \mu(B) + \epsilon,$$

and so  $\mu(\overline{B}) = \mu(B)$ . By taking complements, it follows that  $\mu(B^\circ) = \mu(B)$ . Hence,  $\mu(\overline{B} \setminus B^\circ) = 0$ .

“(i)  $\Rightarrow$  (iii)” We know that  $\mu(B) = 0$  for each nowhere dense set  $B$  in  $\mathfrak{B}_X$ , and so  $\mu(B) = 0$  for each meagre set  $B$  in  $\mathfrak{B}_X$ . Thus,  $\mu(B_1) = \mu(B_2)$  whenever  $B_1, B_2 \in \mathfrak{B}_X$  with  $B_1 \Delta B_2$  meagre.

“(ii), (iii)  $\Rightarrow$  (i)” These are immediate from *Theorem 1.1.9*. ■

There is a connection between measures in  $M(X)$ .

**Definition 1.1.11.** Let  $X$  be a non-empty, compact space and suppose that  $\mu, \nu \in M(X)$ . Then we write  $\mu \perp \nu$  if  $\mu$  and  $\nu$  are *mutually singular*, in the sense that there exists  $B \in \mathfrak{B}_X$  with  $|\mu|(B) = 0$  and  $|\nu|(X \setminus B) = 0$ , and  $\mu \ll \nu$  if  $|\mu|$  is *absolutely continuous* with respect to  $|\nu|$ , in the sense that  $|\mu|(B) = 0$  whenever  $B \in \mathfrak{B}_X$  and  $|\nu|(B) = 0$ . A family  $\mathcal{F}$  of measures in  $M(X)^+$  is *singular* if  $\mu \perp \nu$  whenever  $\mu, \nu \in \mathcal{F}$  and  $\mu \neq \nu$ .

*Remark 1.1.12.* The collection of singular families in  $M(X)^+$  is ordered by inclusion. With *Zorn's lemma* we see that the collection of singular families of a non-empty subspace  $\mathcal{F}$  of  $M(X)^+$  has a maximal member that contains any specific singular family in  $\mathcal{F}$ , a *maximal singular family* in  $\mathcal{F}$ . //

**Definition 1.1.13.** Let  $X$  be a compact space. A measure  $\mu \in M(X)$  is *supported* on a Borel subset  $B$  of  $X$  if  $|\mu|(X \setminus B) = 0$ . The support is denoted by  $\text{supp } \mu$ .

As  $\text{supp } \mu$  is the complement of the union of open sets  $U$  in  $X$  such that  $|\mu|(U) = 0$ , it is a closed subset of  $X$ .

## 1.2 Stonean spaces

Since our main interest is the space  $C(X)$ , the topology on  $X$  will play an important role. We will make use of a certain separation property.

**Definition 1.2.1.** A topological space  $X$  is *extremely disconnected* if the closure of every open set is itself open.

*Remark 1.2.2.* Equivalently, extremely disconnected means if pairs of disjoint open subsets of  $X$  have disjoint closure. To see this let  $U \in \mathcal{T}$ , then  $U$  and  $\overline{U}^c$  are disjoint open sets. Since every two disjoint open sets have disjoint closures we get

$$\overline{U} \cap \overline{X \setminus U} = \emptyset \Rightarrow \overline{X \setminus U} \subseteq X \setminus \overline{U},$$

which shows that  $\overline{U}^c$  is closed and  $\overline{U}$  is open. Conversely take disjoint open sets  $U$  and  $V$ . Since  $\overline{V}$  is open for any  $x \in \overline{V}$ , it is an open neighbourhood of  $x$  disjoint from  $U$  and so  $x \notin \overline{U}$ . It follows that  $\overline{U} \cap \overline{V} = \emptyset$ . //

**Definition 1.2.3.** A compact, extremely disconnected space is a *Stonean space*.

The definition of a Stonean space seems artificial but there are natural examples of topological spaces which do have this separation property.

*Example 1.2.4.* Let  $B$  be a complete Boolean algebra. The *Stone space* is the family of ultrafilters on  $B$ , denoted by  $St(B)$ . We define a topology on  $St(B)$  by taking the sets

$$S_b = \{p \in St(B) \mid b \in p\}, \quad b \in B$$

as a base of the topology. With this topology the Stone space is a Hausdorff, compact and extremely disconnected topological space with clopen basis sets  $S_b$ .

To see this take  $p \neq q \in St(B)$ . Now there is  $x \in p$  with  $x \notin q$ . By definition of  $S_x$ , we get  $q \in St(B) \setminus S_x$ , and since these are ultrafilters, there exists  $y \in q$  with  $x \wedge y = 0$ , and so  $q \in S_y \subseteq St(B) \setminus S_x$ . These are disjoint open neighbourhoods of  $p$  respectively  $q$  and since  $S_x$  is open and its complement is a neighbourhood of every element,  $S_x$  is clopen.

For a Boolean algebra we have  $St(B) = S_1$ . Taking  $\Gamma \subseteq B$  such that  $\{S_a \mid a \in \Gamma\}$  is a cover of  $S_1$

with basic sets, we may suppose that  $\Gamma$  is closed under finite union. We claim that necessarily  $1 \in \Gamma$ . For otherwise,  $a' \neq 0$  for each  $a \in \Gamma$ . Since

$$\bigwedge_{i=1}^n a'_i = \left( \bigvee_{i=1}^n a_i \right)' \neq 0, \quad n \in \mathbb{N}$$

the family is contained in some  $p \in S_1$ . But  $p \notin \bigcup_{a \in \Gamma} S_a$ , a contradiction. So  $1 \in \Gamma$  and  $S_1$  is compact.

Finally, we have to check the separation property from *Definition 1.2.1*. Take an open set  $U$ . Since  $S_x$  for  $x \in B$  form a base of the open set, we get  $U = \bigcup_{b \in \Gamma} S_b$  for a subset  $\Gamma$  of  $B$ . Since  $B$  is complete,  $a = \bigvee_{b \in \Gamma} b$  exists. We claim that  $\bar{U} = S_a$ . Now take  $p \in S_a$ . For each  $c \in p$ , we have  $c \wedge a \neq 0$ , and hence  $c \wedge b \neq 0$  for some  $b \in \Gamma$ , for otherwise we would have  $b \leq c'$  for  $b \in \Gamma$ , and hence  $a \leq c'$ . Thus  $S_c \cap U \neq \emptyset$ . This shows that  $S_a \subseteq \bar{U}$ . The reverse inclusion is immediate and since  $S_a$  is open,  $\bar{U}$  is open and  $St(B)$  is extremely disconnected. //

**Definition 1.2.5.** A subset  $U$  of a topological space  $X$  is *regular-open* if  $U = (\bar{U})^\circ$ .

**Proposition 1.2.6.** *Let  $X$  be a Stonean space. Then every regular-open set in  $X$  is clopen, and, for every  $B \in \mathfrak{B}_X$ , there is a unique set  $C \in \mathfrak{C}_X$  with  $B \Delta C$  is meagre, where  $\mathfrak{C}_X$  denotes the family of open and compact subsets of  $X$ .*

*Proof.* Let  $U$  be a regular-open set. We have

$$U \in \mathcal{T} \Rightarrow \bar{U} \in \mathcal{T} \Rightarrow \bar{U} = (\bar{U})^\circ = U.$$

For the second part, let  $\mathcal{F}$  be the family of subsets of  $X$  that differ from a clopen set by a meagre set and since  $X$  is compact these sets are compact and open. If  $B \in \mathcal{F}$  and  $C$  is a clopen set such that  $C \Delta B$  is meagre, then  $B^c$  and  $C^c$  differ by this same set. As  $C^c$  is clopen,  $C^c \in \mathcal{F}$ . Each open set  $U$  lies in  $\mathcal{F}$ , since  $\bar{U}$  is clopen and  $\bar{U} \setminus U$  is nowhere dense. If  $B_n \in \mathcal{F}$  for  $n \in \mathbb{N}$  and  $C_n$  is a clopen set such that  $B_n \Delta C_n$  is meagre, then

$$\left( \bigcup_{n=1}^{\infty} B_n \right) \Delta \left( \bigcup_{n=1}^{\infty} C_n \right) \subseteq \bigcup_{n=1}^{\infty} (B_n \Delta C_n).$$

As  $\bigcup_{n=1}^{\infty} (B_n \Delta C_n)$  is meagre and  $\bigcup_{n=1}^{\infty} C_n$  is open,  $\bigcup_{n=1}^{\infty} B_n \in \mathcal{F}$ . Hence  $\mathfrak{B}_X \subseteq \mathcal{F}$  and  $\mathcal{F}$  contains the Borel subsets of  $X$ . ■

The second part of the proof of *Proposition 1.2.6* is taken from [4, Lemma 5.2.10, p.322].

**Definition 1.2.7.** A set  $U$  is *regular-closed* if its complement is regular-open.

*Remark 1.2.8.* Equivalently the equality  $U = \overline{U^\circ}$  holds:

$$(\overline{U^\circ})^c = \left( \overline{(U^c)^c} \right)^c = (U^c)^\circ = U^c.$$

It is sometimes easier to work with this property. //

The properties of the topological space  $X$  have also effect on the measures on this space:

**Proposition 1.2.9.** *Let  $X$  be a non-empty, compact space and suppose that  $\mu \in N(X)$ . Then  $\text{supp } \mu$  is a regular-closed set.*

*Proof.* Since  $\text{supp } \mu = \text{supp } |\mu|$ , we may suppose that  $\mu \in N(X)^+$ . Set  $A = \text{supp } \mu$ , a closed set, and set  $U = A^\circ$ , so that  $\bar{U} \subseteq A$ . Since  $A \setminus \bar{U}$  is nowhere dense,  $\mu(A \setminus \bar{U}) = 0$ . Thus  $\mu(X \setminus \bar{U}) = 0$ , and so, by the definition of  $\text{supp } \mu$ , we have  $X \setminus \bar{U} \subseteq X \setminus A$ . Hence  $\bar{U} = A$ , and  $A$  is regular-closed. ■

**Corollary 1.2.10.** *Let  $X$  be a Stonean space, and suppose that  $\mu \in N(X)^+ \setminus \{0\}$ . Then:*

- (i) *The space  $\text{supp } \mu$  is clopen in  $X$ , and hence Stonean.*
- (ii) *For each  $B \in \mathfrak{B}_X$ , there is a unique set  $C \in \mathfrak{C}_X$  with  $C \subseteq \text{supp } \mu$  and  $\mu(B \Delta C) = 0$ .*

*Proof.*

(i) In a Stonean space, every regular-closed set is clopen. Since the closure of a set in the subspace topology is just

$$\bar{U}^{\mathcal{T}|_{\text{supp } \mu}} = \bar{U}^{\mathcal{T}} \cap \text{supp } \mu$$

and an open set is obtained in the same way,  $\bar{U}^{\mathcal{T}|_{\text{supp } \mu}}$  is open in  $\text{supp } \mu$ .

(ii) By (i)  $\text{supp } \mu$  is a clopen subset of  $X$  and  $\mu(X \setminus \text{supp } \mu) = 0$ , and so we may suppose that  $X = \text{supp } \mu$ . Take  $B \in \mathfrak{B}_X$ . By *Proposition 1.2.6*, there is a unique  $C \in \mathfrak{C}_X$  with  $B \Delta C$  meagre, and then  $\mu(B \Delta C) = 0$ . Suppose that  $C_1, C_2 \in \mathfrak{C}_X$  are such that  $\mu(B \Delta C_1) = \mu(B \Delta C_2) = 0$ . Then  $C_1 \Delta C_2 \subseteq (B \Delta C_1) \cup (B \Delta C_2)$ , so that  $\mu(C_1 \Delta C_2) = 0$ . Since  $C_1 \Delta C_2$  is an open set in  $X = \text{supp } \mu$  and  $\mu(U) > 0$  for all non-empty open subsets  $U$  of  $X$ , it follows that  $C_1 \Delta C_2 = \emptyset$ , i.e.,  $C_1 = C_2$ . ■

**Proposition 1.2.11.** *Let  $X$  be a Stonean space, and suppose that  $\mu, \nu \in N(X)$ . Then:*

- (i)  *$\text{supp } \nu \subseteq \text{supp } \mu$  if and only if  $\nu \ll \mu$ .*
- (ii)  *$\mu \perp \nu$  if and only if  $\text{supp } \mu \cap \text{supp } \nu = \emptyset$ .*

*Proof.*

(i) Always  $\text{supp } \nu \subseteq \text{supp } \mu$  when  $\nu \ll \mu$ . For the converse, we may suppose that  $\mu, \nu \in N(X)^+$ . By *Proposition 1.2.6*, for each  $B \in \mathfrak{B}_X$ , there exists  $C \in \mathfrak{C}_X$  with  $B \Delta C$  meagre. Now suppose that  $B$  is a  $\mu$ -nullset. Then by *Corollary 1.2.10*,  $C$  is also a  $\mu$ -nullset, and so  $C \cap \text{supp } \nu = \emptyset$ , whence  $\nu(B) = \nu(C) = 0$ . This shows that  $\nu \ll \mu$ .

(ii) Clearly  $\mu \perp \nu$  when  $\text{supp } \mu \cap \text{supp } \nu = \emptyset$ . Next suppose that  $\mu \perp \nu$ , and set  $U = \text{supp } \mu \cap \text{supp } \nu$ , so that  $U$  is an open set. Then  $\nu|_U \perp \mu$  and  $\nu|_U \ll \mu$ . Thus  $\nu|_U = 0$ , and hence  $U = \emptyset$ . ■

**Definition 1.2.12.** A lattice is *Dedekind complete* if every non-empty subset which is bounded above has a supremum and every non-empty subset which is bounded below has an infimum.

If the space  $C(X)$  satisfies this completeness property, we can infer that the space  $X$  has our required separation property.

**Theorem 1.2.13.** *Let  $X$  be a non-empty, compact space. Then  $X$  is Stonean if and only if  $C(X)$  is Dedekind complete.*

*Proof.*

“ $\Rightarrow$ ” Suppose that  $C_{\mathbb{R}}(X)$  is Dedekind complete, and let  $U$  be an open set in  $X$ . Take  $\mathcal{F}$  to be the family of functions  $f \in C_{\mathbb{R}}(X)$  such that

$$\mathcal{F} = \{f \in C_{\mathbb{R}}(X) \mid f(x) = 0 \text{ for } x \in X \setminus U, 0 \leq f \leq 1\}.$$

Then since  $C(X)$  is Dedekind complete,  $\mathcal{F}$  has a supremum, say  $f_0 \in C_{\mathbb{R}}(X)$ . To determine this supremum we make use of Urysohn's lemma. We claim that  $f_0(x) = 1$  for  $x \in U$  and  $f_0(x) = 0$  for  $x \in X \setminus U$ . To see this take the closed sets  $\{x\}$  and  $U^c$ . Then there is  $f_x \in C(X)$  with  $f_x(x) = 1$ ,  $f_x(X \setminus U) = 0$  and  $0 \leq f_x \leq 1$ . Now  $f_x \in \mathcal{F}$  and  $f_x \leq f_0$ . Next take  $x \in X \setminus \bar{U}$ . Again with Urysohn's lemma we get  $g \in C(X)$  with  $g(\{x\}) = 0$  and  $g(\bar{U}) = 1$ . This leads to

$$f \in \mathcal{F} \Rightarrow f = fg \leq g$$

and  $\sup \mathcal{F} \leq g$ . Hence we get  $f_0 = \mathbb{1}_{\bar{U}}$ . As  $X \setminus \bar{U}$  is closed as the preimage of  $\{0\}$  under the continuous function  $f_0$ , it follows that  $\bar{U}$  is open and  $X$  is Stonean.

" $\Leftarrow$ " Conversely, suppose that  $X$  is Stonean, and let  $\mathcal{F}$  be a family in  $C(X)^+$  which is bounded above, say by 1. For  $r \in [0, 1]$ , define

$$U_r = \bigcup_{f \in \mathcal{F}} \{x \in X \mid f(x) > r\}.$$

Then  $U_r$  is open in  $X$ , and so  $V_r := \bar{U}_r$  is also open in  $X$ . Clearly  $V_1 = \emptyset$ . Define

$$g(x) = \sup_{x \in U_r} r.$$

If  $g(x) \in (r, s)$ , then  $x \in V_r \setminus V_s$ , and, if  $x \in V_r \setminus V_s$ , then  $g(x) \in [r, s]$ . Take  $x_0 \in X$ , and take a neighbourhood  $V$  of  $g(x_0)$ . Then there exist  $r, s \in \mathbb{R}$  with  $g(x_0) \in (r, s) \subseteq [r, s] \subseteq V$ . Since  $V_r \setminus V_s$  is an open set and

$$x_0 \in V_r \setminus V_s \subseteq g^{-1}([r, s]) \subseteq g^{-1}(V),$$

we see that  $g$  is continuous at  $x_0$ . Thus  $g \in C_{\mathbb{R}}(X)$ .

Now take  $h \in C_{\mathbb{R}}(X)$  with  $h \geq f$  for  $f \in \mathcal{F}$ . Assume that there exists  $x_0 \in X$  with  $h(x_0) < g(x_0)$ . Then  $h(x_0) < r$  for some  $r$  with  $x_0 \in V_r$ . Let  $W$  be a neighbourhood of  $x_0$  with  $h(x) < r$  for  $x \in W$ . Then there exists  $x \in W$  with  $f(x) > r$  for some  $f \in \mathcal{F}$ , a contradiction. Thus  $h \geq g$ , and so  $g = \sup \mathcal{F}$ . We have shown that  $C_{\mathbb{R}}(X)$  is Dedekind complete.  $\blacksquare$

We will make use of *Theorem 1.2.13* in the following:

*Example 1.2.14.* A *character* on an Banach algebra  $Z$  is a homomorphism from  $Z$  to  $\mathbb{C}$ . The set of all characters on  $Z$  is denoted by  $\Phi_Z$ , this is the *character space* of  $Z$ .

For a locally compact space  $\Gamma$  and a measure  $\mu \in P(\Gamma)$ , the character space of the  $C^*$ -algebra  $L^\infty(\Gamma, \mu)$  is denoted by  $\Phi_\mu$ . Since  $L^\infty(\Gamma, \mu)$  is commutative the *Gelfand transform*

$$\Psi : \begin{cases} L^\infty(\Gamma, \mu) \rightarrow C(\Phi_\mu) \\ f \mapsto \hat{f} \end{cases}$$

is an isomorphism and moreover, a lattice isometry. Since  $L^\infty(\Gamma, \mu)$  is Dedekind complete, it follows that  $C(\Phi_\mu)$  is also Dedekind complete. Now *Theorem 1.2.13* applies to show that  $\Phi_\mu$  is a Stonean space.  $\parallel$

**Theorem 1.2.15** (Baire's theorem). *If  $X$  is a compact Hausdorff space then the intersection of every countable collection of dense open subsets of  $X$  is dense in  $X$ .*

*Proof.* Suppose  $(V_n)_{n \in \mathbb{N}}$  are dense open subsets of  $X$ . Let  $U_0$  be an arbitrary non-empty open set in  $X$ . If  $n \geq 1$  and an open non-empty  $U_{n-1}$  has been chosen, then there exists an open non-empty  $U_n$  since  $V_n$  is dense with

$$\bar{U}_n \subseteq V_n \cap U_{n-1}.$$

Since  $(\overline{U_n})_{n \in \mathbb{N}}$  has the finite intersection property, the set

$$K = \bigcap_{n=1}^{\infty} \overline{U_n}$$

is non-empty and we have  $K \subseteq U_0$  and  $K \subseteq V_n$  for each  $n$ . Hence  $U_0$  intersects  $\bigcap_{n=1}^{\infty} V_n$ . ■

**Theorem 1.2.16.** *Let  $X$  be a Stonean space, and let  $U$  be dense a or open subspace of  $X$ . Take a compact space  $L$  and  $f \in C(U, L)$ . Then there exists  $F \in C(\overline{U}, L)$  such that  $F|_U = f$ .*

*Proof.* Take  $x \in \overline{U}$ , and let  $(x_i)_{i \in I}$  and  $(y_j)_{j \in J}$  be nets in  $U$  with  $\lim_{i \in I} x_i = \lim_{j \in J} y_j = x$ . Then the nets  $(f(x_i))_{i \in I}$  and  $(f(y_j))_{j \in J}$  have accumulation points, say  $x_1$  and  $x_2$ , respectively, in  $L$ . Assume towards a contradiction that  $x_1 \neq x_2$ , and take open neighbourhoods  $N_{x_1}$  and  $N_{x_2}$  of  $x_1$  and  $x_2$ , respectively, such that  $\overline{N_{x_1}} \cap \overline{N_{x_2}} = \emptyset$ . Then the sets

$$\{y \in U \mid f(y) \in N_{x_1}\} \quad \text{and} \quad \{y \in U \mid f(y) \in N_{x_2}\}$$

are disjoint, relatively open subsets of  $U$ , and so they have the form  $U \cap V$  and  $U \cap W$ , respectively, for some open subsets  $V$  and  $W$  in  $X$ . Since  $\overline{U} = X$ , we have  $V \cap W = \emptyset$ , and since  $X$  is Stonean  $\overline{V} \cap \overline{W} = \emptyset$ . In the case where  $U$  is open,  $\overline{U \cap V} \cap \overline{U \cap W} = \emptyset$ . However  $x \in \overline{U \cap V} \cap \overline{U \cap W}$ . Thus  $x_1 = x_2$ . It follows that  $(f(x_i))_{i \in I}$  converges to a unique limit  $F(x)$ , in  $L$ , and that the limit is independent of the net  $(x_i)_{i \in I}$ . Now  $F$  is the required extension of  $f$ . ■

**Corollary 1.2.17.** *The complement of a meagre set  $M$  is dense in  $X$ .*

*Proof.*  $M$  can be written as the countable union of nowhere dense sets  $(M_n)_{n \in \mathbb{N}}$ . Taking complements we get

$$\overline{M^c} = \overline{\left( \bigcup_{n=1}^{\infty} M_n \right)^c} \supseteq \overline{\left( \bigcup_{n=1}^{\infty} \overline{M_n} \right)^c} = \left( \left( \bigcup_{n=1}^{\infty} \overline{M_n} \right)^{\circ} \right)^c.$$

And since the union of sets with empty interior has empty interior,  $M^c$  is dense. ■

*Remark 1.2.18.* Let  $X$  be a non-empty, compact space, and define

$$M_X := \{f \in B^b(X) \mid \{x \in X \mid f(x) \neq 0\} \text{ is meagre}\}.$$

Then  $M_X$  is a closed ideal in the  $C^*$ -algebra  $B^b(X)$ :

Set

$$m_f := \{x \in X : f(x) \neq 0\} = f^{-1}(\{0\})^c.$$

Take  $g \in B^b(X)$  and  $f \in M_X$ , we have to show that the set  $m_{fg}$  is meagre. Now since every subset of a meagre set is meagre and

$$m_f^c = f^{-1}(\{0\}) \subseteq fg^{-1}(\{0\}) = m_{fg}^c,$$

it follows that  $m_{fg} \subseteq m_f$ . So  $fg \in M_X$ .

Secondly we have to show that the sum of two functions  $f, g \in M_X$  is again in  $M_X$ . This follows because  $m_{f+g} \subseteq m_f \cup m_g$  and the union of meagre sets is meagre.

At last we have to show that  $M_X$  is closed. Let  $f_n \rightarrow f$  with  $f_n \in M_X$ . We have to show that  $m_f$  is meagre. Take  $x \in m_f$ , then  $|f(x)| = \alpha > 0$ . Now let  $n_0$  be sufficiently large so that  $|f(x) - f_{n_0}(x)| < \frac{\alpha}{2}$ . Then  $x \in m_{f_n}$  for  $n \geq n_0$  and

$$m_f \subseteq \bigcup_{n \in \mathbb{N}} m_{f_n}.$$

The countable union of meagre sets is again meagre and so  $m_f$  is meagre. //

**Definition 1.2.19.** Let  $X$  be a non-empty, compact space. Then

$$D(X) = B^b(X)/M_X$$

is the Dixmier algebra of  $X$ .

**Theorem 1.2.20.** Let  $X$  be a non-empty, Stonean space. Then  $D(X)$  and  $C(X)$  are  $C^*$ -isomorphic.

*Proof.* First consider a simple bounded Borel function  $f$  of the form  $f = \sum_{i=1}^n \alpha_i \mathbf{1}_{B_i}$ , where  $\alpha_1, \dots, \alpha_n \in \mathbb{C}$  and  $B_1, \dots, B_n \in \mathfrak{B}_X$  are pairwise disjoint. As we already know, there exist  $C_1, \dots, C_n \in \mathfrak{C}_X$  such that  $B_i \Delta C_i$  is meagre. Clearly, the sets  $C_1, \dots, C_n$  are pairwise disjoint. We define

$$g = \sum_{i=1}^n \alpha_i \mathbf{1}_{C_i}.$$

We have  $g \in C(X)$  since

$$C_i \in \mathfrak{C}_X \Rightarrow \exists g_i \in C(X) : g_i(C_i) \subseteq \{1\}, g_i(C_i^c) \subseteq \{0\} \Rightarrow g_i \equiv \mathbf{1}_{C_i}$$

and so the set  $\{x \in X \mid f(x) \neq g(x)\}$  is meagre.

Now consider a general function  $f \in B^b(X)$ . There is a sequence  $(f_n)_{n \in \mathbb{N}}$  of simple, bounded Borel functions that converges uniformly to  $f$  on  $X$ . For each  $n \in \mathbb{N}$ , choose  $g_n \in C(X)$  such that  $M_n := \{x \in X \mid f(x) \neq g_n(x)\}$  is a meagre subset of  $X$ . The set

$$M := \bigcup_{n \in \mathbb{N}} M_n$$

is also meagre in  $X$ , and  $g_n(x) = f_n(x)$  for all  $n \in \mathbb{N}$  and  $x \in X \setminus M$ , and so  $(g_n)_{n \in \mathbb{N}}$  is a Cauchy sequence in  $(C(X \setminus M), \|\cdot\|_{X \setminus M})$ . The sequence converges uniformly to a function, say  $g$ , in  $C(X \setminus M)$ . Now by *Theorem 1.2.15*,  $X \setminus M$  is dense in  $X$  and by *Theorem 1.2.16*,  $\bar{g}$  has an extension in  $C(X)$ .

For each  $f \in B^b(X)$ , take  $\pi(f)$  to be the unique  $\bar{g} \in C(X)$  and consider the map

$$\pi : B^b(X) \rightarrow C(X).$$

Clearly the restriction of  $\pi$  to the simple functions is a  $*$ -homomorphism; since the simple functions are dense in  $B^b(X)$  and  $\pi(f) = f, f \in C(X)$ , the map  $\pi$  is a  $C^*$ -homomorphism that is a bounded projection from  $B^b(X)$  to  $C(X)$ . Clearly  $\ker \pi = M_X$ , and so the map

$$\bar{\pi} : D(X) = B^b(X)/M_X \rightarrow C(X).$$

is a  $C^*$ -isomorphism. ■

**Corollary 1.2.21.** Let  $X$  be a Stonean space, and suppose that  $\mu \in N(X) \cap P(X)$  is a strictly positive measure. Then every equivalence class in  $L^\infty(X, \mu)$  contains a continuous function, the  $C^*$ -algebras  $(L^\infty(X, \mu), \|\cdot\|_\infty)$  and  $(C(X), \|\cdot\|_X)$  are  $C^*$ -isomorphic.

*Proof.* By *Theorem 1.2.20*, there is a  $C^*$ -isomorphism  $\bar{\pi} : D(X) \rightarrow C(X)$ . However  $\mu(B) = 0$  for each meagre set  $B \in \mathfrak{B}_X$  by *Corollary 1.1.10* and so  $\ker \bar{\pi}$  is exactly the kernel of the projection of  $B^b(X)$  onto  $L^\infty(X, \mu)$ . ■

### 1.3 The complexification of $C_{\mathbb{R}}(X)$

*Remark 1.3.1.* Often it is easier to work with real Banach spaces. Since we are interested in the complex Banach space  $C(X)$ , we want to infer from the real to the complex case.

We give a sketch of how the complexification transfers to the dual space: Let  $(Z, \|\cdot\|)$  be a real Banach lattice with dual  $Z'$  and  $Z_{\mathbb{C}} = Z \oplus iZ$  its complexification. If we want to endow this complexification with a fitting norm that respects the order, for  $z = x + iy$ , define

$$|z| = |x + iy| = \sup_{0 \leq \theta \leq 2\pi} x \cos \theta + y \sin \theta.$$

Then the norm

$$\|z\| = \||z|\|$$

makes  $Z_{\mathbb{C}}$  to a Banach space. At first we can identify  $Z'$  as a real-linear subspace of  $Z'_{\mathbb{C}}$  if we define  $\lambda(x + iy) = \lambda(x) + i\lambda(y)$  for  $\lambda \in Z'$ ,  $x, y \in Z$ . And for each  $\lambda \in Z'_{\mathbb{C}}$ , there exist  $\lambda_1$  and  $\lambda_2$  in  $Z'$  such that  $\lambda(x) = \lambda_1(x) + i\lambda_2(x)$  for  $x \in Z$  and so  $Z'_{\mathbb{C}}$  is isomorphic as a complex Banach space to the complexification  $Z' \oplus iZ'$ . //

In the following section we will deal with this complexification. We want to show that  $C(X)$  is a dual space of a Banach space if and only if  $C_{\mathbb{R}}(X)$  is a dual space.

**Lemma 1.3.2.** *Let  $X$  be a compact space, and let  $\mu \in M(X)^+$ . Take  $f, g \in L^1_{\mathbb{R}}(\mu)$  and  $\epsilon > 0$ . Suppose that  $\|f + ig\|_1 = 1$  and that  $1 - \epsilon < \|f\|_1 \leq 1$ . Then  $\|g\|_1 \leq \sqrt{2\epsilon}$ .*

*Proof.* Take  $a, b > 0$ . Since

$$\sqrt{1+t} \leq 1 + \frac{t}{2}, \quad t \geq 0,$$

we have

$$a^2 + b^2 \geq a^2 \sqrt{1 + \frac{b^2}{a^2}} + \frac{b^2}{2} = a\sqrt{a^2 + b^2} + \frac{b^2}{2} \Leftrightarrow \sqrt{a^2 + b^2} \geq a + \frac{b^2}{2\sqrt{a^2 + b^2}}.$$

Set  $h = \frac{g^2}{\sqrt{f^2 + g^2}}$ . It follows that

$$1 = \int_X \sqrt{f^2 + g^2} \, d\mu \geq \int_X |f| \, d\mu + \frac{1}{2} \int_X h \, d\mu,$$

and so  $\int_X h \, d\mu < 2\epsilon$ . We then have

$$\int_X |g| \, d\mu = \int_X \frac{|g|(f^2 + g^2)^{\frac{1}{4}}}{(f^2 + g^2)^{\frac{1}{4}}} \, d\mu \leq \left( \int_X h \, d\mu \right)^{\frac{1}{2}} \left( \int_X \sqrt{f^2 + g^2} \, d\mu \right)^{\frac{1}{2}}$$

and so  $\|g\|_1 \leq \sqrt{2\epsilon}$ . ■

**Corollary 1.3.3.** *Let  $X$  be a compact space, and let  $\mu, \nu \in M_{\mathbb{R}}(X)$ . Take  $\epsilon > 0$ , and suppose that  $\|\mu + i\nu\| = 1$  and that  $1 - \epsilon < \|\mu\| \leq 1$ . Then  $\|\nu\| \leq \sqrt{2\epsilon}$ .*

*Proof.* Consider the measure

$$\lambda = |\mu| + |\nu| \in M(X)^+.$$

Then  $\mu = f \, d\lambda$  and  $\nu = g \, d\lambda$  for some  $f, g \in L^1_{\mathbb{R}}(\lambda)$  such that  $\|\mu\| = \|f\|_1$  and  $\|\nu\| = \|g\|_1$  and the claim follows from *Lemma 1.3.2*. ■



**Proposition 1.3.4.** *Let  $Z$  be a Banach space. Then  $\iota(Z)$  is weak\*-dense in  $Z''$ .*

*Proof.* Since  $Z''$  is endowed with the weak\*-topology we have  $(Z'', \sigma(Z'', \iota'(Z')))' = \iota'(Z')$ . We know from a corollary of the *Hahn-Banach* theorem [7, Corollary 5.2.6, p.79] that

$$\overline{\iota(Z)} = \bigcap_{\substack{f \in \iota'(Z') \\ \iota(Z) \subseteq \ker f}} \ker f.$$

We have to show that 0 is the only element with  $\iota(Z) \subseteq \ker f$ .

$$\iota'(f)[\iota(z)] = \iota(z)[f] = f(z) = 0, \quad \forall z \in Z$$

Hence  $f \equiv 0$ . ■

**Proposition 1.3.5.** *Let  $X$  be a non-empty, compact space. Then the Banach space  $C(X)$  is isometrically the dual of a Banach space if and only if the real Banach space  $C_{\mathbb{R}}(X)$  is isometrically the dual of a real Banach space.*

*Proof.*

“ $\Leftarrow$ ” Suppose  $C_{\mathbb{R}}(X)$  is isometrically isomorphic to  $Y'$  for a real Banach space  $Y$ , and regard  $Y$  as a closed subspace of  $C_{\mathbb{R}}(X)'$ . Now set

$$Y_{\mathbb{C}} = Y \oplus iY$$

so that  $Y_{\mathbb{C}}$  is a closed subspace of  $C(X)'$  and we have

$$C(X)' \cong Y'' \oplus iY'' = Y_{\mathbb{C}}''$$

and  $Y_{\mathbb{C}}$  is a Banach space. It must yet be shown that  $Y_{\mathbb{C}}' \cong C(X)$ :

Take  $f \in C(X)$  and set

$$\lambda(y) = \langle f, y \rangle, \quad y \in Y_{\mathbb{C}}.$$

Then  $\lambda \in Y_{\mathbb{C}}'$  with  $\|\lambda\| \leq \|f\|$ , and the map

$$S : \begin{cases} C(X) \rightarrow Y_{\mathbb{C}}' \\ f \mapsto \lambda \end{cases}$$

is a linear contraction. Take  $\lambda \in Y_{\mathbb{C}}'$ , and set

$$\lambda_1 = \Re(\lambda)|_Y, \quad \lambda_2 = \Im(\lambda)|_Y$$

so that  $\lambda_1$  and  $\lambda_2$  are bounded real-linear functionals on  $Y$  with  $\lambda = \lambda_1 + i\lambda_2$ . Thus there exist unique elements  $x$  and  $z$  in  $C_{\mathbb{R}}(X)$  such that

$$\lambda_1(g) = \langle x, g \rangle, \quad \lambda_2(g) = \langle z, g \rangle$$

for  $g \in Y$ . Set  $h = x + iz \in C(X)$ . Then for each  $g_1, g_2 \in Y$ , we have

$$\begin{aligned} \lambda(g_1 + ig_2) &= (\lambda_1 + i\lambda_2)(g_1 + ig_2) = \langle x, g_1 \rangle - \langle z, g_2 \rangle + i(\langle z, g_1 \rangle + \langle x, g_2 \rangle) \\ &= \langle x + iz, g_1 + ig_2 \rangle = \langle h, g_1 + ig_2 \rangle \end{aligned}$$

and so  $\lambda = S(h)$ . Thus  $S$  is a surjection.

Now fix  $\epsilon > 0$ . By *Proposition 1.3.4* we see, that  $Y_{\mathbb{C}}$  is weak\*-dense in  $Y_{\mathbb{C}}''$  and there exists  $k \in Y_{\mathbb{C}}$  with  $\|k\| = 1$  and  $|\langle f, k \rangle| > \|f\| - \epsilon$ , and hence  $\|\lambda\| > \|f\| - \epsilon$ . This holds for each  $\epsilon > 0$ ,

and so  $\|\lambda\| \geq \|f\|$ . So  $S$  is an isometric isomorphism.

“ $\Rightarrow$ ” Now suppose  $C(X) \cong Y'$  where  $Y$  is a Banach space. We regard  $Y$  as a closed subspace of  $Y'' = M(X)$ . Define

$$Y_{\mathbb{R}} = \{\Re(\mu) \in M_{\mathbb{R}}(X) \mid \mu \in Y\}.$$

Then  $Y_{\mathbb{R}}$  is a real-linear subspace of  $M_{\mathbb{R}}(X)$ , and  $\Re(\mu), \Im(\mu) \in Y_{\mathbb{R}}$  whenever  $\mu \in Y$ , so that  $Y = Y_{\mathbb{R}} \oplus iY_{\mathbb{R}}$ . For each  $\lambda \in Y'_{\mathbb{R}}$ , define

$$\bar{\lambda}(\mu + i\nu) = \lambda(\mu) + i\lambda(\nu), \quad \mu, \nu \in Y_{\mathbb{R}}.$$

Then  $\bar{\lambda}$  is a continuous, complex-linear functional on  $Y$  with

$$\|\lambda\| \leq \|\bar{\lambda}\| \leq \sqrt{2}\|\lambda\|.$$

Thus there exist unique elements  $f, g \in C_{\mathbb{R}}(X)$  with

$$\bar{\lambda}(\mu + i\nu) = \langle f + ig, \mu + i\nu \rangle, \quad \mu + i\nu \in Y.$$

It follows that

$$\lambda(\mu) = \langle f, \mu \rangle - \langle g, \nu \rangle \quad \text{and} \quad \lambda(\nu) = \langle f, \nu \rangle + \langle g, \mu \rangle.$$

Define

$$T : \begin{cases} Y'_{\mathbb{R}} \rightarrow C_{\mathbb{R}}(X) \\ \lambda \mapsto f. \end{cases}$$

Then  $T$  is a continuous, real-linear map such that

$$\|T(\lambda)\|_X \geq \|\lambda\|. \tag{1.4}$$

Take  $f \in C_{\mathbb{R}}(X)$  and define

$$\lambda(\mu) = \langle f, \mu \rangle, \quad \mu \in Y_{\mathbb{R}}.$$

Then  $\lambda \in Y'_{\mathbb{R}}$  is such that  $\|\lambda\| \leq \|f\|_X$  and  $T(\lambda) = f$ . This shows  $T$  is a surjection. To show injectivity we take  $\lambda \in Y'_{\mathbb{R}}$  with  $T(\lambda) = 0$ , and assume towards a contradiction that  $\lambda \neq 0$ . Then  $\bar{\lambda} \neq 0$ , and so we may suppose that  $\|\bar{\lambda}\| = 1$ . Now there exists  $g \in C_{\mathbb{R}}(X)$  with  $\|g\|_X = 1$  such that

$$\lambda(\mu) = -\langle g, \nu \rangle \quad \text{and} \quad \lambda(\nu) = \langle g, \mu \rangle, \quad \mu + i\nu \in Y.$$

Choose  $x \in X$  with  $|g(x)| = 1$ , without loss of generality  $g(x) = 1$ . Since the closed unit ball  $B_1^Y(0)$  is weak\*-dense in  $B_1^{M(X)}(0)$ , and so for each  $\epsilon > 0$ , there exists  $\mu_0 + i\nu_0 \in B_1^Y(0)$  with  $|\langle g, \delta_x - \mu_0 + i\nu_0 \rangle| < \epsilon$ . Thus,

$$|1 - \langle g, \mu_0 \rangle| \leq |1 - \langle g, \mu_0 + i\nu_0 \rangle| < \epsilon.$$

Since

$$1 - \epsilon < \|\mu_0\| \leq 1,$$

it follows from *Corollary 1.3.3* that

$$1 - \epsilon \leq |\langle g, \mu_0 \rangle| = |\lambda(\nu_0)| \leq \|\nu_0\| \leq \sqrt{2}\epsilon,$$

a contradiction for some  $\epsilon > 0$ . Thus  $\lambda = 0$  and  $T$  is injective. Finally we have to show that  $T$  is an isometry and since *Theorem 0.0.8*, it remains to show that

$$\|T(\lambda)\|_X \leq \|\lambda\|, \quad \lambda \in Y'_{\mathbb{R}}.$$

Take  $f \in C_{\mathbb{R}}(X)$ . Since  $X$  is compact there is  $x_0 \in X$  with  $|f(x_0)| = \|f\|_X$ . For each  $\epsilon > 0$ , there exists  $\mu + i\nu \in B_1^Y(0)$  with

$$|f(x_0) - \langle f, \mu + i\nu \rangle| < \epsilon.$$

We have  $\mu \in Y_{\mathbb{R}}$  with  $\|\mu\| \leq 1$ . Take the unique  $\lambda$  with  $T(\lambda) = f$ , so that, as above,  $\lambda(\mu) = \langle f, \mu \rangle$ . Then

$$\|\lambda\| \geq |\langle f, \mu \rangle| > |f(x_0)| - \epsilon = \|f\|_X - \epsilon = \|T(\lambda)\|_X - \epsilon,$$

and so  $\|T(\lambda)\|_X \leq \|\lambda\| + \epsilon$ . This holds true for each  $\epsilon > 0$ , and so  $\|T(\lambda)\|_X \leq \|\lambda\|$  and so  $T$  is an isometry.  $\blacksquare$

## 1.4 Hyper-Stonean spaces

**Definition 1.4.1.** Let  $X$  be a non-empty, compact space. Then

$$W_X := \bigcup_{\mu \in N(X)} \text{supp } \mu.$$

The space  $X$  is *hyper-Stonean* if  $X$  is Stonean and  $W_X$  is dense in  $X$ .

Since the restriction of a normal measure to a Borel set is a normal measure, for each non-empty, open subset  $U$  of  $X$ , there exists  $\mu \in N(X) \cap P(X)$  with  $\text{supp } \mu \subseteq U$ .

The following theorem will characterize Hyper-Stonean spaces by a certain measure:

**Definition 1.4.2.** A positive measure  $\mu$  on the Borel sets of a Stonean space  $X$  is a *category measure* if

- (i)  $\mu$  is regular on closed subsets of finite measure;
- (ii) every non-empty, clopen set in  $X$  contains a clopen set  $U$  with  $0 < \mu(U) < \infty$ ;
- (iii) every nowhere dense Borel set has measure zero.

**Proposition 1.4.3.** Let  $X$  be a Stonean space. Then  $X$  is hyper-Stonean if and only if there exists a category measure on  $X$ .

*Proof.*

“ $\Rightarrow$ ” Suppose that  $X$  is hyper-Stonean. Consider a maximal family  $(\mu_i)_{i \in I}$  of measures in  $N(X)^+$  with pairwise-disjoint supports, and set

$$\mu = \sum_{i \in I} \mu_i,$$

so that  $\mu$  is a positive measure on  $\mathfrak{B}_X$ . Take  $C$  to be a clopen subset of  $X$ . Then

$$C_0 := C \cap \text{supp } \mu_{i_0} \neq \emptyset$$

for some  $i_0$  because of the maximality of the family  $(\mu_i)_{i \in I}$  and the assumption that  $X$  is hyper-Stonean. Since  $X$  is Stonean,  $\text{supp } \mu_{i_0}$  is clopen, and so  $C_0$  is a clopen subset of  $C$  with

$$0 < \mu(C_0) = \mu_{i_0}(C_0) < \infty.$$

Clearly  $\mu(B) = 0$  for each nowhere dense Borel set  $B$  because  $\mu_i(B) = 0$  for each such  $B$  and each  $i$ . Thus,  $\mu$  is a category measure.

“ $\Leftarrow$ ” Conversely, suppose that  $\mu$  is a category measure on  $X$ . For an arbitrary clopen set  $C$  in  $X$ , take some clopen  $C_0 \subseteq C$  with  $0 < \mu(C_0) < \infty$ , and set

$$\mu_C = \mu|_{C_0}.$$

By our characterization of normal measures, we have  $\mu_C \in N(X)^+$  and  $\text{supp } \mu_C \subseteq C$ . Since  $C$  was arbitrary,  $X$  is hyper-Stonean.  $\blacksquare$

*Remark 1.4.4.* As we have seen in *Example 1.2.14*, the character space of a  $C^*$ -algebra is an interesting tool. To describe the character space of  $C(X)$ , let us remark that the kernel of a character is a maximal modular ideal and on the other hand every maximal modular ideal is the kernel of a character. Now in this case there is an easy description of those sets. Define

$$\epsilon_x : \begin{cases} C(X) \rightarrow \mathbb{C} \\ f \mapsto f(x) \end{cases}$$

called the *evaluation character* at  $x$ , and

$$M_x := \{f \in C(X) \mid f(x) = 0\} = \ker \epsilon_x.$$

It can be shown that these are all characters. Finally we can identify the character space of  $C(X)$  with  $X$ :

$$\Phi_{C(X)} = X.$$

So if  $X$  is Stonean and we take a normal measure  $\mu$ , we get by *Corollary 1.2.21*, that  $\Phi_\mu = \Phi_{C(X)}$  is homeomorphic to  $X$ .  $\parallel$

**Definition 1.4.5.** Let  $(Z_i, \|\cdot\|_i)_{i \in I}$  be a family of Banach spaces, defined for each  $i$  in a non-empty index set  $I$ . Then set

$$\bigoplus_{\infty} Z_i = \{(z_i)_{i \in I} \mid \|(z_i)_{i \in I}\| = \sup_{i \in I} \|z_i\|_i < \infty\}$$

and

$$\bigoplus_p Z_i = \{(z_i)_{i \in I} \mid \|(z_i)_{i \in I}\| = \left(\sum_{i \in I} \|z_i\|_i^p\right)^{\frac{1}{p}} < \infty\}.$$

These are Banach spaces.

*Remark 1.4.6.* Let  $q$  be the conjugate index to  $p$ , then similar to the  $L^p$ -spaces the duality

$$\left(\bigoplus_p Z_i\right)' = \bigoplus_q Z_i',$$

holds.  $\parallel$

*Remark 1.4.7.* As a preparation for *Theorem 2.1.1* we want to sum up: Let  $X$  be a Stonean space such that  $N(X) \neq \{0\}$ , and take  $(\mu_i)_{i \in I}$  to be a maximal singular family in  $N(X) \cap P(X)$ , where the measures  $\mu_i$  are distinct. For each  $i \in I$ , set  $S_i = \text{supp } \mu_i$ , so that, each  $S_i$  is Stonean, and hence by *Corollary 1.2.21*,  $\Phi_{\mu_i} = \Phi_{C(S_i)}$  is homeomorphic to  $S_i$ .  $(S_i)_{i \in I}$  is a pairwise-disjoint family of clopen subsets of  $X$ . We set

$$U_{\mathcal{F}} = \bigcup_{i \in I} \text{supp } \mu_i.$$

Then  $U_{\mathcal{F}}$  is an open subset of  $X$ . In the case where  $X$  is hyper-Stonean,  $U_{\mathcal{F}}$  is dense in  $X$ . For the family of compact spaces  $(S_i)_{i \in I}$  set

$$\mathcal{A} = \bigoplus_{\infty} C(S_i).$$

Take  $j \in I$ , and write  $\delta_j$  for the element  $(f_i)_{i \in I}$  in  $\mathcal{A}$  such that  $f_j = \mathbb{1}_{S_j}$  and  $f_i = 0$  for  $j \neq i$ . Take  $j \in I$  and  $x \in S_j$ . Then the map

$$\phi_x : \begin{cases} \mathcal{A} \rightarrow \mathbb{C} \\ (f_i)_{i \in I} \mapsto f_j(x) \end{cases}$$

is a character on  $\mathcal{A}$ , and the map

$$\psi : \begin{cases} S_i \rightarrow \Phi_{\mathcal{A}} \\ x \mapsto \phi_x \end{cases}$$

is a homeomorphism onto a compact subspace of  $\Phi_{\mathcal{A}}$ , which we identify with  $S_i$ . Clearly  $S_i \cap S_j = \emptyset$  when  $i, j \in I$  with  $i \neq j$ . For each  $i \in I$ , we have  $S_i = \{\phi \in \Phi_{\mathcal{A}} \mid \phi(\delta_i) = 1\}$ , and so  $S_i$  is clopen in  $\Phi_{\mathcal{A}}$ . Further,  $U_{\Phi_{\mathcal{A}}} = \bigcup_{i \in I} S_i$  and  $U_{\Phi_{\mathcal{A}}}$  is a dense, open subspace of  $\Phi_{\mathcal{A}}$ . //

We have to consider a generalization of  $\sigma$ -finite measures:

**Definition 1.4.8.** A measure space  $(\Gamma, \mathfrak{B}, \mu)$  is *decomposable* if there is a subfamily  $\mathcal{U}$  of  $\mathfrak{B}$  that partitions  $X$  such that:

- (i)  $0 \leq \mu(U) < \infty$ ,  $U \in \mathcal{U}$ .
- (ii)  $\mu(B) = \sum_{U \in \mathcal{U}} \mu(U \cap B)$  for each  $B \in \mathfrak{B}$  with  $\mu(B) < \infty$ .
- (iii)  $B \in \mathfrak{B}$  for each  $B \subseteq \Gamma$  such that  $B \cap U \in \mathfrak{B}$  for  $U \in \mathcal{U}$ .

Not all properties that are true for  $\sigma$ -finite measures hold true for decomposable measures. The duality of the spaces  $L^1$  and  $L^\infty$ , thus, still applies. The proof of the following can be found in [3, Theorem 20.19, p. 351].

**Theorem 1.4.9.** *Let  $(\Gamma, \mathfrak{B}, \mu)$  be a decomposable measure space. Then  $(L^1(\Gamma, \mu), \|\cdot\|_1)'$  is isometrically isomorphic to  $(L^\infty(\Gamma, \mu), \|\cdot\|_\infty)$ .*

*Example 1.4.10.* Let  $X$  be a non-empty, Stonean space and let  $(\mu_i)_{i \in I}$  be a maximal singular family in  $N(X) \cap P(X)$  and set  $S_i = \text{supp } \mu_i$ . Now take  $\Gamma$  to be the union of the family  $(S_i)_{i \in I}$  and set

$$\mu = \sum_{i \in I} \mu_i.$$

Then  $\mu$  is a decomposable measure as in *Definition 1.4.8*:

- (i) Since  $\mu_i(X) = 1$  for all  $i \in I$ , it follows that  $0 \leq \mu(S_{i_0}) = \mu_{i_0}(S_{i_0}) \leq \mu_{i_0}(X) < \infty$ .
- (ii) The family  $(S_i)_{i \in I}$  consists of pairwise disjoint sets, so

$$\mu(B) = \sum_{i \in I} \mu(B \cap S_i) = \sum_{i \in I} \mu_i(B).$$

- (iii) This is trivial. //

## 2 $C(X)$ as dual space of a Banach space

### 2.1 Dual space theorem

**Theorem 2.1.1.** *Let  $X$  be a non-empty compact space. Then the following statements are equivalent.*

(i)  $C(X)$  is isometrically a dual space;

(ii) there is a  $C^*$ -isomorphism

$$T : \begin{cases} f \mapsto f|_{S_i} \\ C(X) \rightarrow \bigoplus_{\infty} L^{\infty}(S_i, \mu_i) \end{cases}$$

where  $(\mu_i)_{i \in I}$  is a maximal singular family in  $N(X) \cap P(X)$  and we are setting  $S_i = \text{supp } \mu_i$ ,  $i \in I$ ;

(iii) the map  $T : C(X) \rightarrow N(X)'$  defined by

$$(Tf)(\mu) = \langle f, \mu \rangle = \int_X f d\mu$$

is an isometric isomorphism, and so  $C(X) \cong N(X)'$ .

(iv)  $X$  is Stonean and, for each  $f \in C(X)^+$  with  $f \neq 0$ , there exists  $\mu \in N(X)^+$  with  $\langle f, \mu \rangle \neq 0$ ;

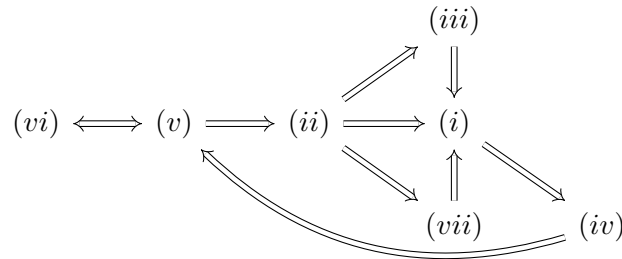
(v)  $X$  is hyper-Stonean;

(vi)  $X$  is Stonean and there exists a category measure on  $X$ ;

(vii) there is a locally compact space  $\Gamma$  and a decomposable measure  $\mu$  on  $\Gamma$  such that  $C(X)$  is  $C^*$ -isomorphic to  $L^{\infty}(\Gamma, \mu)$ .

*Proof.*

We are going to establish the following implications:



“(ii)  $\Rightarrow$  (i)” This is trivial.

“(iii)  $\Rightarrow$  (i)” This is trivial.

“(i)  $\Rightarrow$  (iv)” By *Proposition 1.3.5*, there exists a real-linear subspace  $Y$  of  $M_{\mathbb{R}}(X)$  with  $Y' = C_{\mathbb{R}}(X)$ . The space  $(B_1^{C_{\mathbb{R}}(X)}(0), \sigma(C_{\mathbb{R}}(X), Y))$  is compact. Since the map

$$\psi : \begin{cases} f \mapsto \frac{1}{2}(1 + f) \\ B_1^{C_{\mathbb{R}}(X)}(0) \rightarrow B_1^{C(X)}(0)^+ \end{cases}$$

is a bijection which is a homeomorphism with respect to the weak\*-topology and so  $B_1^{C(X)}(0)^+$  is compact as the continuous image of a compact set. By the *Krein-Šmulian* theorem [7, Theorem 6.3.4, p.121], the positive cone is closed. Take  $f \in C_{\mathbb{R}}(X) \setminus C(X)^+$ . Then, by the Hahn-Banach theorem, there exists

$$\lambda \in (C_{\mathbb{R}}(X), \sigma(C_{\mathbb{R}}(X), Y))' = Y$$

with

$$\int_X f \, d\lambda < \inf_{g \in C_{\mathbb{R}}(X)^+} \int_X g \, d\lambda.$$

It cannot be that

$$\int_X g \, d\lambda < 0$$

for some  $g \in C_{\mathbb{R}}(X)^+$ : indeed this would imply that

$$\int_X ng \, d\lambda < \int_X f \, d\lambda$$

for some  $n \in \mathbb{N}$ , a contradiction, and so

$$\inf_{g \in C_{\mathbb{R}}(X)^+} \int_X g \, d\lambda = 0.$$

Thus  $\lambda \in Y^+$ . It follows that, for each  $f \in C_{\mathbb{R}}(X)$ , we have  $f \geq 0$  if and only if

$$0 \leq \int_X f \, d\lambda, \quad \lambda \in Y^+.$$

Let  $(f_i)_{i \in I}$  be an increasing net in  $B_1^{C_{\mathbb{R}}(X)^+}(0)$ . Then  $(f_i)_{i \in I}$  has an accumulation point, say  $f_0$ , in the unit ball of  $C_{\mathbb{R}}(X)^+$  endowed with  $\sigma(C_{\mathbb{R}}(X), Y)$ . By passing to a subnet we may suppose that  $\lim_{j \in J} f_{i_j} = f_0$  with respect to the weak\*-topology. For each  $\lambda \in Y^+$ , the net  $(\int_X f_i \, d\lambda)_{i \in I}$  is increasing and bounded. So it converges to the limit of the subnet, and hence to  $\int_X f_0 \, d\lambda$ , and so

$$\int_X f_i \, d\lambda \leq \int_X f_0 \, d\lambda, \quad i \in I.$$

It follows that  $f_i \leq f_0$  for  $i \in I$ . Suppose that  $g \in C(X)^+$  with  $f_i \leq g$  for all  $i \in I$ . Then

$$\int_X f_i \, d\lambda \leq \int_X g \, d\lambda, \quad \lambda \in Y^+,$$

for each  $i \in I$ , and so

$$\int_X f_0 \, d\lambda \leq \int_X g \, d\lambda, \quad \lambda \in Y^+.$$

This implies that  $f_0 \leq g$  and hence that  $f_0 = \sup_{i \in I} f_i$ . Thus  $C(X)$  is Dedekind complete, thus  $X$  is a Stonean space.

Next suppose that  $\mu \in Y$  and  $g_i \searrow 0$  in  $C_{\mathbb{R}}(X)$ . Then

$$1 = \sup_{i \in I} (1 - g_i)$$

and we know from the first part of the proof that  $1 - g_i \xrightarrow{w^*} 1$ , hence we get

$$\lim_{i \in I} \int_X g_i d\mu = 0.$$

This shows that  $\mu$  is normal. Thus,  $Y \subseteq N(X)$ . For each  $f \in C(X)^+$  with  $f \neq 0$ , there exists  $\mu \in Y^+$  with

$$\int_X f d\mu \neq 0,$$

since  $Y^+ \subseteq N(X)^+$ .

“(iv)  $\Rightarrow$  (v)” Let  $U$  be a non-empty, open subset of the Stonean space  $X$ . Then there exists  $f \in C(X)^+$  with  $f \neq 0$  such that  $\text{supp } f \subseteq U$ . By (iv), there exists  $\mu \in N(X)^+$  with

$$\int_X f d\mu \neq 0.$$

Clearly  $\text{supp } \mu \cap U \neq \emptyset$ . This shows that  $W_X$  is dense in  $X$ , and so  $X$  is hyper-Stonean.

“(v)  $\Leftrightarrow$  (ii)” Since  $X$  is Stonean and  $U_{\mathcal{F}}$ , from *Remark 1.4.7*, is dense in  $X$ , the map

$$\psi : \begin{cases} f \mapsto f|_{U_{\mathcal{F}}} \\ C(X) \rightarrow C^b(U_{\mathcal{F}}) \end{cases}$$

is a unital  $C^*$ -isomorphism. The map

$$\phi : \begin{cases} f \mapsto f|_{S_i} \\ C^b(U_{\mathcal{F}}) \rightarrow \bigoplus_{\infty} C(S_i) \end{cases}$$

is clearly a unital  $C^*$ -isomorphism. For each  $i \in I$ , the measure  $\mu_i$  is normal, and so  $L^\infty(S_i, \mu_i) = C(S_i)$ .

“(ii)  $\Rightarrow$  (iii)” Since (ii)  $\Rightarrow$  (i)  $\Rightarrow$  (iv), the space  $X$  is Stonean, and the spaces  $S_i$  are pairwise disjoint. Set  $Y = \bigoplus_1 L^1(S_i, \mu_i)$ , so that  $Y' = \bigoplus_{\infty} L^\infty(S_i, \mu_i)$ . The map

$$T' : Y'' \rightarrow M(X)$$

is an isometric isomorphism. We will show that  $T'$  maps  $Y$  onto  $N(X)$ . Take  $y = (y_i)_{i \in I}$  in  $Y$  and set

$$\lambda = T'y \in M(X).$$

Take  $f \in C(X)$ , and, for each  $i$ , set  $f_i = f|_{S_i}$ , so that

$$\int_X f d\lambda = \langle f, \lambda \rangle = \langle Tf, y \rangle = \sum_{i \in I} \int_{S_i} f_i y_i d\mu_i, \quad (2.1)$$

where we note that

$$\int_{S_i} f_i y_j d\mu_i = 0, \quad i \neq j.$$

Take  $C \in \mathcal{K}_X$ . Then, for each  $i \in I$ , we have  $C \cap S_i \in \mathcal{K}_X$  and  $\mu_i \in N(X)$ , and so  $\mu_i(C \cap S_i) = 0$ . By *Equation (2.1)* with  $f = \mathbf{1}_C$ , we have  $\lambda(C) = 0$ . By *Theorem 1.1.9*,  $\lambda \in N(X)$ .

Conversely, take  $\lambda \in N(X)$ . Then  $|\lambda|(X \setminus \bigcap_{i \in I} S_i) = 0$ . For each  $i \in I$ , it follows that  $\lambda|_{S_i} \ll \mu_i$ ,



and so, by the Radon-Nikodym theorem, there exists  $y_i \in L^1(S_i, \mu_i)$  with  $\lambda|_{S_i} = y_i d\mu_i$  and  $\|y_i\|_1 = \|\lambda|_{S_i}\|$ . Set  $y = (y_i)_{i \in I}$ . Then

$$\sum_{i \in I} \|y_i\|_1 = \sum_{i \in I} \|\lambda|_{S_i}\| = \|\lambda\|,$$

so that  $y \in Y$ , and

$$\int_X f d\lambda = \sum_{i \in I} \int_{S_i} f y_i d\mu_i,$$

whence  $T'y = \lambda$ . It follows that  $C(X) \cong N(X)'$ . When we identify  $Y$  and  $N(X)$ , we obtain the formula.

“(v)  $\Leftrightarrow$  (vi)” This follows from *Proposition 1.4.3*.

“(vii)  $\Rightarrow$  (i)” This follows from *Theorem 1.4.9* because  $L^\infty(\Gamma, \mu) \cong L^1(\Gamma, \mu)'$ .

“(ii)  $\Rightarrow$  (vii)” We take  $\Gamma$  to be the pairwise disjoint union of the family  $(S_i)_{i \in I}$ , and set  $\mu = \sum_{i \in I} \mu_i$ . We have seen in *Example 1.4.10* that  $\mu$  is decomposable. It is clear that  $C(X)$  is  $C^*$ -isomorphic to  $L^\infty(\Gamma, \mu)$ .  $\blacksquare$

**Definition 2.1.2.** A  $C^*$ -algebra  $Z$  is a *von Neumann algebra* if there is a Hilbert space  $H$  such that  $Z$  is a  $C^*$ -subalgebra of  $\mathcal{B}(H)$  and  $Z$  closed in the weak operator topology.

*Theorem 2.1.1* will help us proving, that every commutative  $C^*$ -algebra that is isometrically isomorphic to a dual space is a von Neumann algebra.

**Definition 2.1.3.** Let  $Z$  be a subset of  $\mathcal{B}(H)$ , for a Hilbert space  $H$ . Then the *commutant* of  $Z$  is

$$Z^{\mathcal{C}} = \{T \in \mathcal{B}(H) \mid TS = ST, S \in Z\}.$$

**Theorem 2.1.4.** Let  $H$  be a Hilbert space, and let  $Z$  be a  $C^*$ -subalgebra of  $\mathcal{B}(H)$ . Then  $\overline{Z}^{wo} = Z^{\mathcal{C}\mathcal{C}}$ .

A proof of this can be found, e.g., in [1, Theorem 3.2.32].

*Example 2.1.5.* Let  $Z$  be a commutative  $C^*$ -algebra which is isometrically a dual space. As we have already seen  $Z$  is isometrically isomorphic to  $C(X)$  for a compact space  $X$ . Now by *Theorem 2.1.1*, there is a locally compact space  $\Gamma$  and a decomposable measure  $\mu$  on  $\Gamma$  such that  $C(X)$  is  $C^*$ -isomorphic to  $L^\infty(\Gamma, \mu)$ . We show that  $L^\infty(\Gamma, \mu)$  is a von Neumann algebra. Take  $H$  to be the Hilbert space  $L^2(\Gamma, \mu)$ , and for  $f \in L^\infty(\Gamma, \mu)$ , define

$$M_f(g) = fg, \quad g \in L^2(\Gamma, \mu).$$

Then  $M_f \in \mathcal{B}(L^2(\Gamma, \mu))$  and the set  $N := \{M_f \mid f \in L^\infty(\Gamma, \mu)\}$  is a  $C^*$ -subalgebra of  $\mathcal{B}(L^2(\Gamma, \mu))$ . The map

$$\psi : \begin{cases} L^\infty(\Gamma, \mu) \rightarrow N \\ f \mapsto M_f \end{cases}$$

is a  $C^*$ -isomorphism.  $N$  is a  $C^*$ -subalgebra and if  $N$  is closed in the weak operator topology it is even a von Neumann algebra. To show this we will make use of *Theorem 2.1.4*. We even show that  $N = N^{\mathcal{C}}$ .

Let  $T \in N^{\mathcal{C}}$  with  $T \neq 0$  and let  $f = T(\mathbf{1}_\Gamma) \in L^2(\Gamma, \mu)$ . We have to show that  $f$  belongs to  $L^\infty(\Gamma, \mu)$  and  $T$  is  $M_f$ . We claim that the essential supremum of  $|f|$  is less than  $\|T\|$ . Assume the contrary, then there exists a measurable set  $A \subseteq \Gamma$  of positive measure such that  $|f| > \|T\|$  on  $A$ . Define a function

$$g : \begin{cases} \Gamma \rightarrow \mathbb{C} \\ x \mapsto \mathbf{1}_A \frac{1}{f(x)} \end{cases}$$

Then  $g \in L^\infty(\Gamma, \mu)$ , so we have

$$T(g) = T(M_g(\mathbf{1}_\Gamma)) = M_g(T(\mathbf{1}_\Gamma)) = gT(\mathbf{1}_\Gamma) = gf. \quad (2.2)$$

Now since  $gf \equiv \mathbf{1}_A$ , we have

$$\mu(A) = \|gf\|_{L^2(\Gamma, \mu)}^2 = \|T(g)\|_{L^2(\Gamma, \mu)}^2 \leq \|T\|^2 \|g\|_{L^2(\Gamma, \mu)}^2 < \|T\|^2 \frac{\mu(A)}{\|T\|^2} = \mu(A).$$

A contradiction and so  $f \in L^\infty(\Gamma, \mu)$ . Moreover, Equation (2.2) shows that  $T(g) = gf$  for  $g$  in the dense subset  $L^\infty(\Gamma, \mu)$  of  $L^2(\Gamma, \mu)$  and we get  $T = M_f$ .

So we see that  $L^\infty(\Gamma, \mu)$  satisfies the conditions of Definition 2.1.2 and is a von Neumann algebra. //

## 2.2 Uniqueness of the predual

**Definition 2.2.1.** Let  $X$  be a Banach space with an isometric predual  $Y$ . Then  $X$  has a *strongly unique* predual  $Y$  if, whenever  $Z$  is also a Banach space and  $T : Z' \rightarrow Y'$  is an isometric isomorphism, the map  $T' : Y'' \rightarrow Z''$  carries  $\iota_Y(Y)$  onto  $\iota_Z(Z)$ .

$$\begin{array}{ccc} \begin{array}{c} Y' \\ \updownarrow \mathbb{R} \\ X \\ \updownarrow \mathbb{R} \\ Z' \end{array} & \Rightarrow & \begin{array}{ccccc} Y'' & \xleftarrow{\cong} & \iota_Y(Y) & \xleftarrow{\cong} & Y \\ \uparrow & & \uparrow & & \\ T' & & T' & & \\ \downarrow & & \downarrow & & \\ Z'' & \xleftarrow{\cong} & \iota_Z(Z) & \xleftarrow{\cong} & Z \end{array} \end{array}$$

**Lemma 2.2.2.** Let  $Y$  and  $Z$  be Banach spaces. A linear map  $T : Z' \rightarrow Y'$  is weak\*-weak\*-continuous if and only if  $T = S'$  for some bounded operator  $S : Y \rightarrow Z$ .

*Proof.*

“ $\Rightarrow$ ” Since  $T$  is weak\*-weak\*-continuous, take  $y \in Y$ , then  $\iota(y) \circ T$  is weak\*-continuous on  $Z$  and so it is of the form  $\iota(S(y))$  for a unique  $S(y) \in Y$ . Since  $S(y)$  is uniquely determined, it follows that  $S$  is linear. Now  $S$  is continuous by the closed graph theorem. If  $y_n \rightarrow y$  and  $Sy_n \rightarrow z$  then for each  $z'$  on  $Z'$  we have

$$\langle z, z' \rangle_{Z, Z'} = \lim_{n \rightarrow \infty} \langle Sy_n, z' \rangle_{Z, Z'} = \lim_{n \rightarrow \infty} \langle y_n, Tz' \rangle_{Y, Y'} = \langle y, Tz' \rangle_{Y, Y'} = \langle Sy, z' \rangle_{Z, Z'}$$

and thus  $z = Sy$ . Hence  $S$  is bounded.

“ $\Leftarrow$ ” Conversely, to see that the dual of a bounded operator is weak\*-weak\*-continuous, we take a net  $z'_i \xrightarrow{w^*} z'$ . Then we get

$$\langle y, Tz'_i - Tz' \rangle_{Y, Y'} = \langle Sy, z'_i - z' \rangle_{Z, Z'} \rightarrow 0$$

and hence the claim follows. ■

The following proposition can be useful to see when isometric isomorphisms have dual operators that take  $\iota_Z(Z)$  onto  $\iota_Y(Y)$ .

**Proposition 2.2.3.** *The dual of an isometric isomorphism  $T$  is weak\*-weak\*-continuous if and only if  $T'$  maps  $\iota_Y(Y)$  onto  $\iota_Z(Z)$ .*

*Proof.*

“ $\Rightarrow$ ” We have

$$\langle z', T' \circ \iota_Y(y) \rangle_{Z', Z''} = \langle Tz', \iota_Y(y) \rangle_{Y', Y''} = \langle y, Tz' \rangle_{Y, Y'}.$$

By *Lemma 2.2.2* there is a bounded operator  $S$  with  $S' = T$ . This leads to

$$\langle z', T' \circ \iota_Y(y) \rangle_{Z', Z''} = \langle y, S'z' \rangle_{Y, Y'} = \langle Sy, z' \rangle_{Z, Z'} = \langle z', \iota_Z(Sy) \rangle_{Z', Z''}.$$

Since  $S$  is bijective,  $T'$  is a bijection between  $\iota_Y(Y)$  and  $\iota_Z(Z)$ .

“ $\Leftarrow$ ” We define a map  $S$  by the diagram below,  $S = \iota_Z^{-1} \circ T' \circ \iota_Y$ .

$$\begin{array}{ccccccc}
 & & & \iota_Y & & & \\
 & & & \curvearrowright & & & \\
 Y & & & & & & \iota_Y(Y) \\
 & & Y' & & Y'' & \longleftarrow & \\
 & & \uparrow & & \uparrow & & \uparrow \\
 & & T & & T' & & T' \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & Z' & & Z'' & \longleftarrow & \iota_Z(Z) \\
 & & & & & & \\
 & & & \curvearrowleft & & & \\
 & & & \iota_Z^{-1} & & & \\
 Z & & & & & & 
 \end{array}$$

If we can show that  $S' = T$  the statement follows by *Lemma 2.2.2*. We compute

$$\begin{aligned}
 \langle y, S'z' \rangle_{Y, Y'} &= \langle Sy, z' \rangle_{Z, Z'} = \langle \iota_Z^{-1} \circ T' \circ \iota_Y(y), z' \rangle_{Z, Z'} \\
 &= \langle z', T' \circ \iota_Y(y) \rangle_{Z', Z''} = \langle Tz', \iota_Y(y) \rangle_{Y', Y''} = \langle y, Tz' \rangle_{Y, Y'}.
 \end{aligned}$$

And  $T$  is weak\*-weak\*-continuous. ■

**Theorem 2.2.4.** *Let  $X$  be a non-empty, hyper-Stonean space. Then  $N(X)$  is a strongly unique predual of  $C(X)$ .*

*Proof.* Suppose that  $Y$  is an isometric predual of  $C(X)$ . Then we can regard  $Y$  as a closed linear subspace of  $M(X)$ , and we have noted in the proof of *Theorem 2.1.1* in implication “(i)  $\Rightarrow$  (iv)” that  $Y \subseteq N(X)$ . Now assume that there is  $\mu \in N(X) \setminus Y$ . With the Hahn-Banach theorem we get

$$\exists f \in N(X)' : f(Y) \leq \gamma_1 < \gamma_2 \leq f(\mu) \Rightarrow f(Y) = 0$$

but  $Y$  operates separating on  $C(X)$  and so  $f = 0$ . Thus, we have  $f(\mu) \neq 0$ , a contradiction. Hence,  $Y = N(X)$ .

Next suppose that  $Z$  is a Banach space and that

$$T : N(X)' \rightarrow Z'$$

is an isometric isomorphism. By *Lemma 2.2.2*, we know that  $T'$  is weak\*-weak\*-continuous. Now we endow  $Z'$  with  $\sigma(Z', Z)$  and  $C(X)$  with  $\sigma(C(X), N(X))$ . It now follows that  $T'(\iota_Z(Z)) \subseteq \iota_{N(X)}(N(X))$ . The first part of the proof now applies to show that  $T'(\iota_Z(Z)) = \iota_{N(X)}(N(X))$ . Thus  $N(X)$  is the strongly unique predual of  $C(X)$ . ■

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